

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

HARRINGTON GLOBAL OPPORTUNITY FUND,
LIMITED,

Plaintiff,

v.

CIBC WORLD MARKETS CORP., CIBC WORLD
MARKETS INC., BANK OF AMERICA
SECURITIES, INC., MERRILL LYNCH CANADA
INC., MERRILL LYNCH PROFESSIONAL
CLEARING CORP., TD SECURITIES, INC., TD
SECURITIES(USA) LLC, CORMARK
SECURITIES, INC., UBS FINANCIAL SERVICES,
INC., UBS SECURITIES CANADA, INC.,
SOCIETE GENERALE CAPITALE CANADA,
INC., SG AMERICAS SECURITIES, LLC, AND
JOHN DOES 1 THROUGH 10,

Defendants.

Case No. 1:21-cv-00761 (LGS)

DISCLOSURE PURSUANT TO FED. R. CIV. P. 7.1

Defendants TD Securities Inc., and TD Securities (USA) LLC make this disclosure pursuant to Federal Rule of Civil Procedure Rule 7.1.

TD Securities (USA) LLC is a wholly-owned subsidiary of Toronto Dominion Holdings (U.S.A.), Inc., which is a wholly-owned subsidiary of TD Group US Holdings LLC. TD Group US Holdings LLC and TD Securities Inc. are wholly-owned subsidiaries of The Toronto-Dominion Bank, a Schedule 1 chartered bank subject to the provisions of the Bank Act (Canada) whose shares are publicly traded on the Toronto and New York Stock Exchanges. No publicly held company owns or exercises control over 10% or more of the common shares of The Toronto-Dominion Bank.

Dated: February 23, 2021

Respectfully submitted,

/s/ David Sapir Lesser

David Sapir Lesser

Jamie Stephen Dycus

WILMER CUTLER PICKERING

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